

APPLICATION FOR FUNDING**SCHOOL FACILITY PROGRAM**

SAB 50-04 (Rev. 03/01)

GENERAL INFORMATION

Once the Board has determined or adjusted the district's eligibility for either new construction or modernization funding on the Eligibility Determination (Form SAB 50-03) the district may file an application for funding by use of this form. If the district has a pending reorganization election that will result in the loss of eligibility for the proposed project, the district may not file an application for funding until the Board has adjusted the district's new construction baseline eligibility as required in Regulation Section 1859.51. This may be accomplished by completion of Forms SAB 50-01, 50-02 and 50-03. Requests for funding may be made as follows:

A separate apportionment for site acquisition for a new construction project for environmental hardship pursuant to Regulation Section 1859.75.1. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):

- Forms SAB 50-01, 02, and 03 (if not previously submitted).
- Contingent site approval letter from the California Department of Education (CDE).
- Preliminary appraisal of property.
- Approval letter from the Department of Toxic Substances Control.

A separate apportionment for site acquisition and/or design costs for a new construction project pursuant to Regulation Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Regulation Section 1859.81. Districts may apply for a separate apportionment for the design and for site acquisition on the same project. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):

- Forms SAB 50-01, 02, and 03 (if not previously submitted).
- Contingent site approval letter from the CDE (site apportionment only).
- Preliminary appraisal of property (site apportionment only).

A separate apportionment for design cost for a modernization project pursuant to Regulation Section 1859.81.1. This apportionment is available only to districts that meet the financial hardship criteria in Regulation Section 1859.81. For purposes of this apportionment, the Form SAB 50-03 must accompany this form (if not previously submitted).

A New Construction Adjusted Grant pursuant to Regulation 1859.70. If the funding request includes site acquisition, the proposed site must either be owned by the district, in escrow, or the district has filed condemnation proceedings and received an order of possession of the site. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):

- Forms SAB 50-01, 02 and 03 (if not previously submitted).
- Site/plan approval letter from the CDE.

- Appraisal of property if requesting site acquisition funds.
- Plans and specifications (P&S) for the project that were approved by the DSA. Submittal of plans may be on CD-Rom or "Zip Drive" readable in AutoCAD 14. The specifications may be provided on a diskette that is IBM compatible.
- Cost estimate of proposed site development, if requesting site development funding.
- School board resolution if requesting more grants than the capacity of the project or to use grant eligibility at another grade level. Refer to Regulation Section 1859.77.2.

Modernization Adjusted Grant pursuant to Regulation Section 1859.70. For purposes of this apportionment, the following documents must be submitted with this form (as appropriate):

- Form SAB 50-03 (if not previously submitted).
- P&S for the project.
- DSA approval letter for elevator to meet handicapped compliance, if funding is requested.
- Plan approval letter from the CDE.
- Districtwide enrollment data on Form SAB 50-01 when requesting project assistance (if not previously submitted).

Prior to acceptance of an application for funding that includes a financial hardship request, the district must have its financial hardship status "pre-approved" by the Office of Public School Construction (OPSC). To apply for a financial hardship "pre-approval", consult the OPSC Web site at www.opsc.dgs.ca.gov.

If the district is requesting New Construction funding after the initial baseline eligibility was approved by the Board and the district's current CBEDS enrollment reporting year is later than the enrollment reporting year used to determine the district's baseline eligibility or adjusted eligibility, the district must complete a new *Enrollment Certification/Projection* (Form SAB 50-01) based on the current year CBEDS enrollment data, and submit it to the OPSC with this form. A small district with 2,500 or less enrollment as defined in Regulation Section 1859.2 will not have its eligibility reduced for a period of three years from the date the district's baseline eligibility was approved by the Board as a result of reduction in projected enrollment.

For a list of the documents that must be submitted in order for the OPSC to deem a funding request for new construction or modernization complete and ready for OPSC processing, consult the OPSC Web site at www.opsc.dgs.ca.gov/pdf/sfp_sb50/SFP-ApplSubmittal.pdf.

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SPECIFIC INSTRUCTIONS

A Project Tracking Number must be assigned by the applicant for all applications submitted to the OPSC, the DSA, or the CDE. This number may be obtained from the OPSC Web site at www.opsc.dgs.ca.gov or the DSA or the CDE Web sites under “Project Tracking Number Generator.”

1. Check the appropriate box that indicates the type of School Facility Program (SFP) grant the district is requesting for purposes of new construction, modernization, a separate design and/or site apportionment or site apportionment as an environmental hardship. If the eligibility for this project was established as a result of the need for new or replacement facilities pursuant to Regulation Section 1859.82 (a) and (b), or rehabilitation pursuant to Regulation Section 1859.83 (e), check the appropriate box.

If the district is requesting a separate site and/or design apportionment, complete boxes 2, 3, 4, the site acquisition data in box 5 (d and e), and boxes 8 through 14 and 17 only.

2. Select the type of project that best represents this application request and enter the number of pupils assigned to the project for each grade group. Include pupils to be housed in a new or replacement school authorized by Regulation Section 1859.82 (a). The amount entered cannot exceed the district’s baseline eligibility determined on Form SAB 50-03 and will be the basis for the amount of the new construction or modernization grants provided for the project.

Indicate if the pupils assigned to the project exceed the pupil capacity of the project or the pupils assigned represent eligibility determined at another grade level, the district must provide a district board resolution with this form which includes the criteria outlined in Regulation Section 1859.77.2. The pupil capacity of the project may be determined by multiplying the classrooms reported in box 3 by 25 for K-6; 27 for 7-8, 9-12 grades; 13 for non-severe and 9 for severe.

Indicate if this request is for funding of a 6-8 school.

If the request is for replacement facilities pursuant to Regulation Section 1859.82 (a) or (b) on the same site, check the facility hardship box.

3. Enter the:

- Number of classrooms as shown on the plans and specifications (P&S). If there was demolition at the site, report the net increase in the number of classrooms showing in the P&S.
- Master plan site size, as recommended by the California Department of Education.
- Existing acreage already owned at that location (if any).
- Proposed acreage that was/will be purchased as part of the application (if any).

4. Check the box if the district is requesting financial hardship assistance because it is unable to meet its matching share requirement. Refer to Regulation Section 1859.81 for eligibility criteria. Districts requesting financial assistance must have received a pre-approval for financial hardship status by the OPSC. Consult the OPSC Web site at www.opsc.dgs.ca.gov for details and necessary documentation needed in order to determine eligibility.

5. Check the appropriate box(es) if the district requests an augmentation to the new construction grant for “additional” grants for the items listed or for replacement facilities pursuant to Regulation Section 1859.82 (a) and (b). Refer to Regulation Sections 1859.72 through 1859.76 and 1859.82 (a) and (b) for eligibility criteria. Enter the:

- a. Therapy area in square feet as provided in Regulation Section 1859.72.
- b. Multilevel classrooms in the P&S pursuant to Regulation Section 1859.73.
- c. Check the box if the district is requesting project assistance pursuant to Regulation Section 1859.73.1. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Enrollment Certification /Projection (Form SAB 50-01) based on district-wide enrollment data with this form.
- d. (1) Enter 50 percent of the lesser of the actual cost or appraised value of the site.
(2) Enter 50 percent of the allowable relocation cost.
(3) Enter two percent of the lesser of the actual cost or appraised value of the site (minimum \$25,000).
(4) Enter 50 percent of the Department of Toxic Substances Control (DTSC) fee for review and approval of the phase one environmental site assessment and preliminary endangerment assessment reports. Refer to Regulation Sections 1859.74, 1859.74.1, 1859.75 and 1859.75.1.

A project that received site acquisition funds under the Lease-Purchase Program (LPP) as a priority two project is not eligible for site acquisition funds under the SFP.

- e. Enter 50 percent of the amount allowable for hazardous materials/waste removal and/or remediation for the site acquired pursuant to Regulation Section 1859.74.2 or 1859.74.3.
- f. Enter 50 percent of eligible service-site development, off-site development including pedestrian safety paths and utilities costs allowed pursuant to Regulation Section 1859.76. Attach cost estimates of the proposed site development work which shall be supported and justified in the P&S. All cost estimates shall reflect 100 percent of the proposed work.

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- g. If the district is requesting replacement facilities on the same site, enter the square footage requested as provided in Regulation Section 1859.82 (a) or (b).
- h. Enter the square feet of eligible replacement area as provided by Regulation Section 1859.73.2.
6. Check the box if the district is requesting project assistance allowance pursuant to Regulation Section 1859.78.2. If the district has not submitted a request for new construction baseline eligibility on a district-wide basis, it must submit a current Enrollment Certification/Projection (Form SAB 50-01) based on district-wide enrollment data with this form.
7. Check the appropriate box to request an augmentation to the New Construction or Modernization Grants for an excessive cost hardship for the items listed. Refer to Regulation Section 1859.83 for eligibility criteria. Requests for excessive cost grants for a new two-stop elevator(s) and for additional stops in a modernization project are allowed only if required by the Division of the State Architect (DSA). Attach copy of the DSA letter that requires that the elevator(s) be included in the project for handicapped access compliance.
- If the request is for rehabilitation mitigation, report 80 percent of health/safety rehabilitation mitigation cost for a modernization project as authorized by Regulation Section 1859.83 (e).
8. Enter the priority order of this project in relation to other new construction applications submitted by the district on the same date. If applications are not received on the same date, the OPSC will assign a higher district priority to the application received first. Check the box(es) if the project meets the criteria outlined in Section 1859.92(c)(3),(4) and (6), as appropriate. This information is needed for purposes of priority points.
9. If the project the district is requesting SFP grants for received a Phase P, S, or C approval under the LPP, report the application number of that project, regardless if the project actually received funding or was included on an "unfunded" list. Failure to report this information may delay the processing of the application by the OPSC.
10. If the project received a separate apportionment under the SFP for either site and/or design, or site environmental hardship, enter the application number of the project. Failure to report this information may delay the processing of the application by the OPSC.
11. The district must report certain alternative fees collected pursuant to Government Code Section 65995.7, as of the date of application submittal to the OPSC. Refer to Regulation Section 1859.77 for details. Districts are advised that the OPSC may perform an audit of the developer fees collected prior to application approval by the Board.
12. Complete only for new construction projects.
- Pursuant to Regulation Section 1859.51 certain adjustments to the district's new construction baseline eligibility must be made each time a district submits an Application for Funding (Form SAB 50-04) to the OPSC for SFP grants. These adjustments are made automatically by the OPSC based on information reported by the district on this form.
- a. Report all additional classroom(s) provided after the district submitted its request for determination of its new construction baseline eligibility for the grades shown, with the exception of a classroom that is/was:
- A trailer and transportable/towed on its own wheels and axles.
 - Excluded pursuant to Education Code Section 17071.30.
 - Under contract for lease, lease-purchase, or construction prior to January 1, 2000.
 - Under contract for lease, lease-purchase, or construction no more than 180 days before submittal of this form to the OPSC.
 - Included in a SFP project where the district has funded a portion of the project beyond its required district contribution and the pupil capacity of the classroom does not exceed 150 percent of the number of pupils receiving a new construction grant (rounded up) for the SFP project.
- b. If the eligibility for this project was determined on a high school attendance area (HSAA) pursuant to Regulation Section 1859.41, enter the number of pupils by grade level that were included in the latest report by the CDE pursuant to Education Code Section 42268 that received operational grants in that HSAA.
13. Complete only for new construction projects. Indicate if there is a pending reorganization election that will result in a loss of eligibility for this project. If the answer is "yes", the district must complete Forms 50-01, 02 and 03, to adjust the district's new construction baseline eligibility as a result of the reorganization and submit them with this form.
14. Check the box if:
- a. the facilities to be constructed/ modernized as part of this project will be for joint use by other governmental agencies.
 - b. the new construction or modernization grants will be used for facilities located or to be located on leased property.
15. The architect of record or the licensed architect must complete this section.
16. The architect of record or the appropriate design professional must complete this section.
17. The district representative must complete this section.

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The school district named below applies to the State Allocation Board via the Office of Public School Construction for a grant under the provisions of Chapter 12.5, Part 10, Division 1, commencing with Section 17070.10, et seq., of the Education Code and the Regulations thereto.

SCHOOL DISTRICT	APPLICATION NUMBER
SCHOOL NAME	PROJECT TRACKING NUMBER
COUNTY	HIGH SCHOOL ATTENDANCE AREA (if applicable)

1. Type of Application (Check Only One)

- ☐ New Construction ☐ Modernization
 Separate Apportionment
☐ Site Only - New Construction ☐ Facility Hardship (Section 1859.82 [a])
☐ Design Only-New Construction ☐ Rehabilitation (Section 1859.83 [e])
☐ Site & Design-New Construction ☐ Facility Hardship (Section 1859.82 [b])
☐ Design Only - Modernization
☐ Site Only - Environmental Hardship (Section 1859.75.1)

2. Type of Project:

- ☐ Elementary School ☐ Middle School ☐ High School

Pupils Assigned:

K-6 _____ 7-8 _____ 9-12 _____ Non-severe _____ Severe _____

Is this a 6-8 School? ☐ Yes ☐ NoIs this a use of grant request? (Section 1859.77.2) ☐ Yes ☐ No

If you answered yes, how many K-6 pupils
reported above are sixth graders? # _____

☐ Facility Hardship (no pupils assigned)**3.**

Number of Classrooms _____
 Master Plan Acreage Site Size (usable) _____
 Existing Acres (usable) _____
 Proposed Acres (usable) _____

4. ☐ Financial Hardship Request - (must have pre-approval by OPSC)**5. New Construction Additional Grant Request (new construction only)**

- a. Therapy : Toilets (sq. ft.) _____ Other (sq. ft.) _____
 b. Multilevel Construction (crs) _____
 c. ☐ Project Assistance
 d. Site Acquisition
 (1) 50 percent Actual Cost/Appraised Value \$ _____
 (2) 50 percent Relocation Cost \$ _____
 (3) 2 percent (min. \$25,000) \$ _____
 (4) 50 percent DTSC Fee \$ _____

e. 50 percent Hazardous waste removal \$ _____

f. Site Development

- ☐ 50 percent Service-Site \$ _____
☐ 50 percent Off-Site \$ _____
☐ 50 percent Utilities \$ _____

g. Facility Hardship Section 1859.82 (a) or (b)

- ☐ Toilet (sq. ft.) _____
☐ Other (sq. ft.) _____

h. Replacement area

- ☐ Toilet (sq. ft.) _____
☐ Other (sq. ft.) _____

6. Modernization Additional grant request (modernization only)☐ Project Assistance**7. Excessive Cost Hardship Request (New Construction only)**

- ☐ Geographic Percent Factor ☐ New School Project
 _____ %

- ☐ Small Size Project ☐ Urban/Security/Impacted Site

Excessive Cost Hardship Request (Modernization only)

- ☐ Rehabilitation/Mitigation [Section 1859.83 (e)] \$ _____
☐ Geographic Percent Factor % _____
☐ Handicapped Access/Fire Code (3%)
☐ No. of 2 Stop Elevators # _____
☐ No. of Additional Stops # _____
☐ Urban/Security/Impacted site
☐ Small Size Project

8. Project Priority Funding Order - New Construction Only

Priority order of this application in relation to other new construction applications submitted by the district at the same time # _____

- Project meets: ☐ Density requirement pursuant to Section 1859.92 (c) (3).
☐ Stock plans requirement pursuant to Section 1859.92 (c) (4).
☐ Energy efficiency requirement pursuant to Section 1859.92 (c) (6).

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9. Prior approval under the LPP.

New Construction

Modernization

22/ _____

77/ _____

10. Prior Apportionment under the SFP.

Site/Design - New Construction

Design - Modernization

50/ _____

57/ _____

11. Alternative developer fee - New Construction OnlyAlternative developer fee collected
and reportable pursuant to
Regulation Section 1859.77

\$ _____

12. Adjustment to baseline eligibility for New Construction only

a. Additional Classroom(s) provided:

K-6 _____ 7-8 _____ 9-12 _____ Non-severe _____ Severe _____

b. Operational Grant (HSAA) only

K-6 _____ 7-8 _____ 9-12 _____

13. Pending Reorganization Election - New Construction Only☐ Yes ☐ No14. ☐ a. Joint Use Facility☐ b. Leased Property**15. Architect of Record or Licensed Architect Certification**I certify as the architect of record for the project or as a
licensed architect that:

- The P&S for this project were submitted to the OPSC by electronic medium (i.e. CD-ROM, zip disk or diskette) or as an alternative, if the request is for a modernization Grant, the P&S were submitted in hard copy to the OPSC.
- Any portion of the P&S requiring review and approval by the Division of the State Architect (DSA) were approved by the DSA on (enter DSA approval date) _____. (If the P&S were not approved by the DSA enter n/a.)
- Any portion of the P&S not requiring review and approval by the DSA meets the requirements of the California Code of Regulations, Title 24, including any handicapped access and fire code requirements.
- If the request is for a Modernization Grant, the P&S include the demolition of more classrooms than those to be constructed in the project, the difference is _____ classroom(s). (Indicate n/a if there are none.)
- If the request is for a Modernization Grant, the P&S include the construction of more classrooms than those to be demolished in the project, the difference is _____ classroom(s). (Indicate n/a if there are none.)

ARCHITECT OF RECORD OR LICENSED ARCHITECT (PRINT NAME)

SIGNATURE



DATE

16. Architect of Record or Design Professional CertificationI certify as the architect of record for the project or the
appropriate design professional, that:

If the request is for a New Construction Grant, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S including deferred items (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share, less site acquisition costs. This cost estimate does not include site acquisition, planning, tests, inspection, or furniture and equipment and is available at the district for review by the OPSC.

If the request is for a Modernization Grant, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S, including deferred items and interim housing (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the district's matching share. This cost estimate does not include planning, tests, inspection or furniture and equipment and is available at the district for review by the OPSC.

ARCHITECT OF RECORD OR DESIGN PROFESSIONAL (PRINT NAME)

SIGNATURE



DATE

APPLICATION FOR FUNDING**SCHOOL FACILITY PROGRAM****CERTIFICATION**

17. I certify, as the District Representative, that the information reported on this form ,with the exception of items 15 and 16, is true and correct and that:

I am an authorized representative of the district as authorized by the governing board of the district; and,

- *A resolution or other appropriate documentation supporting this application under Chapter 12.5, Part 10, Division 1, commencing with Section 17070.10, et. seq., of the Education Code was adopted by the School District's Governing Board on, _____; and,*
- *The district has established a "Restricted Maintenance Account" for exclusive purpose of providing ongoing and major maintenance of school buildings and has developed an ongoing and major maintenance plan that complies with the provisions of Education Code Section 17070.75 (Refer to Regulation Sections 1859.100 through 1859.102); and,*
- *The district has considered the feasibility of the joint use of land and facilities with other governmental agencies in order to minimize school facility costs; and,*
- *The district will comply with all laws pertaining to the construction or modernization of its school building; and,*
- *Facilities to be modernized have not been previously modernized with Lease-Purchase Program or School Facility Program state funds; and,*
- *All contracts entered on or after November 4, 1998 for the service of any architect structural engineer or other design professional for any work under the project have been obtained pursuant to a competitive process that is consistent with the requirements of Chapter 10 (commencing with Section 4525) of Division 5, of Title 1, of the Government Code; and,*
- *If this request is for new construction funding, the district has received approval of the site and the plans from the CDE. Plan approval is not required if request is for separate design apportionment; and,*
- *If this request is for modernization funding, the district has received approval of the plans for the project from the CDE. Plan approval is not required if request is for separate design apportionment; and,*
- *The district has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,*
- *This district has or will comply with Education Code Section 17076.11 regarding at least a 3 percent expenditure goal for disabled veteran business enterprises; and,*
- *The district matching funds required pursuant to Regulation Sections 1859.77.1 or 1859.79 has either been expended by the district, deposited in the County School Facility Fund or will be expended by the district prior to the notice of completion for the project; and,*
- *The district has received the necessary approval of the plans and specifications from the Division of the State Architect unless the request is for a separate site and/or design apportionment; and,*
- *If the district is requesting site acquisition funds as part of this application, the district has complied with Regulation Sections 1859.74 through 1859.75; and,*
- *With the exception of an apportionment made pursuant to Regulation Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 18 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Regulation Section 1859.105); and,*
- *If the apportionment for this project was made pursuant to Regulation Section 1859.75.1, the district understands that the lack of substantial progress toward increasing the pupil capacity or renovation of its facilities within 12 months of receipt of any funding shall be cause for the rescission of the unexpended funds (refer to Regulation Section 1859.105.1); and,*
- *The district understands that funds not released within 18 months of apportionment shall be rescinded and the application shall be denied (refer to Regulation Section 1859.90); and,*
- *The statements set forth in this application and supporting documents are true and correct to the best of my knowledge and belief; and,*
- *All school facilities purchased or newly constructed under the project for use by pupils who are individuals with exceptional needs, as defined in Education Code Section 56026, shall be designed and located on the school site so as to maximize interaction between those individuals with exceptional needs and other pupils as appropriate to the needs of both; and,*
- *This form is an exact duplicate (verbatim) of the form provided by the OPSC. In the event a conflict should exist, the language in the OPSC form will prevail; and,*
- *The district understands that some or all of the State funding for the project must be returned to the State as a result of an audit pursuant to Regulation Sections 1859.105, 1859.105.1, 1859.106; and,*
- *The district has complied with the provisions of Regulation Sections 1859.76 and 1859.79.2 and that the portion of the project funded by the State does not contain work specifically prohibited in those Sections.*
- *If the SFP grants will be used for the construction or modernization of school facilities on leased land, the district has entered into a lease agreement for the leased property that meets the requirements of Regulation Section 1859.22.*
- *If this request will use new construction grants in excess of the capacity of the project or at a different grade level than the proposed project, the district has adopted the appropriate board resolution as required by Regulation Section 1859.77.2.*

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SIGNATURE OF DISTRICT REPRESENTATIVE

DATE

